

## Zacks SEC/CSA/FSA Compliance Products and Services Order Form

### ACCOUNT INFORMATION

COMPANY NAME: \_\_\_\_\_

AUTHORIZED USER NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY / STATE / ZIP: \_\_\_\_\_

TELEPHONE: \_\_\_\_\_

FAX: \_\_\_\_\_

EMAIL: \_\_\_\_\_

TICKER SYMBOL: \_\_\_\_\_ Credit Card #: \_\_\_\_\_

CUSIP: \_\_\_\_\_ Exp. Date: \_\_\_ / \_\_\_ 3-Digit Security Code: \_\_\_\_\_

FISCAL YEAR END: \_\_\_\_\_ Name on Card: \_\_\_\_\_

IPO Date/Price: \_\_\_\_\_ Invoice Preferred: \_\_\_\_\_

Offer/Close (Circle selection) Send Invoice to: \_\_\_\_\_

**Please select the service level that you wish to receive:**

	<u>Fee</u>
_____ LTI Analysis	\$
_____ Peer Tracker Pro Total Return Report	\$
_____ CFO Report	\$
_____ CAGR/ Beta Comparison	\$
_____ ROA Analysis	\$
_____ ROE Analysis	\$
_____ Earnings Analyses	\$

All prices listed on a per year basis. Multi-year contracts are paid Net 30. Please include any applicable discount here: \_\_\_\_\_

\*CRSP client fees remain unchanged.

**Additional Services:**

- Same day service via email (order by 12 noon CST)
- Next Day Service (order by 4:30 p.m. CST)

\*Same Day Service and Next Day Service are subject to availability.

**Delivery Method:**

Email (standard): \_\_\_\_\_ Fax: \_\_\_\_\_ Hard copy via overnight courier: \_\_\_\_\_

**Contact Information:**

Zacks SEC Compliance Services Group  
 Zacks Investment Research Inc.  
 111 N. Canal Street, Suite 1101  
 Chicago Illinois 60606

Ph: 312-265-9214  
 Email: [zspp@zacks.com](mailto:zspp@zacks.com)  
 Fax: 312-265-9552

## Peer Company and Index Selection

### COMPANIES TO BE INCLUDED IN PEER GROUP INDEX:

Company Name / SIC	Ticker Symbol	Company Name / SIC	Ticker Symbol
1. _____	_____	14. _____	_____
2. _____	_____	15. _____	_____
3. _____	_____	16. _____	_____
4. _____	_____	17. _____	_____
5. _____	_____	18. _____	_____
6. _____	_____	19. _____	_____
7. _____	_____	20. _____	_____
8. _____	_____	21. _____	_____
9. _____	_____	22. _____	_____
10. _____	_____	23. _____	_____
11. _____	_____	24. _____	_____
12. _____	_____	25. _____	_____
13. _____	_____		

### Standard Major Market and Industry Indexes to include on chart:

\_\_\_\_\_ S&P 500  
 \_\_\_\_\_ S&P other: \_\_\_\_\_

- \_\_\_\_\_ CRSP (exclusively via Zacks)
- CRSP Total Return Index for the NASDAQ Stock Market (US Companies)
  - CRSP Total Return Index for the NYSE Market (US Companies)-upon request
  - CRSP Total Return Index for the AMEX Market (Value) (US Companies)
  - CRSP Total Return Index for the NASDAQ Stock Market (US & Foreign Companies)
  - CRSP Total Return Index for the NYSE/AMEX Market (US Companies)
  - CRSP Total Return Index for the NYSE/AMEX/ NASDAQ Market (US Companies)

- \_\_\_\_\_ NASDAQ Industry Indexes (CRSP Total Return) (exclusively via Zacks)
- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li><input type="radio"/> Financial Stocks (60-67)</li> <li><input type="radio"/> Non-Financial Stocks (1-59, 70-99)</li> <li><input type="radio"/> Banks (602, 671)</li> <li><input type="radio"/> Computer and Data Processing (737)</li> <li><input type="radio"/> Computer Manufacturers (357)</li> <li><input type="radio"/> Electronic Components (367)</li> <li><input type="radio"/> Health Services (80)</li> </ul> | <ul style="list-style-type: none"> <li><input type="radio"/> Insurance (631, 633)</li> <li><input type="radio"/> Pharmaceuticals (283)</li> <li><input type="radio"/> Medical Equipment (384)</li> <li><input type="radio"/> Retail Trade (52-55, 57, 59)</li> <li><input type="radio"/> Telecommunications (48)</li> <li><input type="radio"/> Trucking/Transportation (37, 42, 44-45, 47)</li> </ul> |
|---|--|

- \_\_\_\_\_ AMEX Industry Indexes (CRSP Total Return) (exclusively via Zacks)
- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li><input type="radio"/> Wholesale and Retail Trade</li> <li><input type="radio"/> Technology/Information Technologies</li> <li><input type="radio"/> Health Products and Services</li> <li><input type="radio"/> Banks and Financial Services</li> <li><input type="radio"/> Media and Communications</li> </ul> | <ul style="list-style-type: none"> <li><input type="radio"/> Consumer Manufacturing</li> <li><input type="radio"/> Real Estate and REITs</li> <li><input type="radio"/> Industrial Manufacturing</li> <li><input type="radio"/> Natural Resources</li> </ul> |
|---|--|

\_\_\_\_ NASDAQ: \_\_\_\_\_  
\_\_\_\_ Dow Jones: \_\_\_\_\_  
\_\_\_\_ Russell: \_\_\_\_\_  
\_\_\_\_ Amex: \_\_\_\_\_  
\_\_\_\_ NYSE: \_\_\_\_\_  
\_\_\_\_ Zacks: \_\_\_\_\_  
**Zacks Total Return Indexes include: 16 Sector Indexes, 60 Aggregate Industry Indexes and 215 Sub-Industry Indexes.**  
\_\_\_\_ NAREIT  
\_\_\_\_ EEI  
\_\_\_\_ 2 digit SIC: \_\_\_\_\_  
\_\_\_\_ 3 digit SIC: \_\_\_\_\_  
\_\_\_\_ 4 digit SIC: \_\_\_\_\_  
\_\_\_\_ Other: \_\_\_\_\_

Some indexes may require a royalty fee payable to the provider. Please initial to authorize Zacks Investment Research to obtain the indices that you require: \_\_\_\_\_

\*Please note if your company has a floating (52/53) fiscal year end.

\*\*IPO Prices are not used in the calculation. They can be included on a custom basis; please provide IPO date & price on form.

Requested Receipt Date: \_\_\_\_\_

Additional Notes/Requests: \_\_\_\_\_

AUTHORIZED SIGNATURE AND DATE: \_\_\_\_\_

For purposes of compliance with SEC reporting regulations, the client has selected the broad market index and industry index or peer group of companies used to calculate a custom peer group index and is solely responsible for same. Zacks Investment Research, Inc. believes that its methodology used in calculating returns and creating graphs is appropriate. Any order of this product offering constitutes acceptance of Zacks methodology. The data provided under this agreement constitutes Zacks's best efforts and are derived using sources and methods Zacks has reason to believe are reliable. In no event shall Zacks or its third party partners be liable to anyone for the accuracy of the data or for decisions made or actions taken based on these data. THERE ARE NO EXPRESS OR IMPLIED WARRANTIES OF ANY KIND WITH RESPECT TO THE PERFORMANCE GRAPH.

CRSP Total Return Indices including the NASDAQ Industry Indexes data may only be used internally by the company for whom the data is requested. It may not be distributed in part, or in whole by a company other than Zacks Investment Research, Inc.

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The language included on the Total Return Stock Performance Graph must be included in the final presentation of the graph.